



# HUMAN FINANCIAL, LLC

## PRIVACY POLICY

### Our Privacy Promise

At Human Financial, we honor the trust you put in our hands. We strive to maintain your trust and confidence in our firm, an essential aspect of which is our commitment to protect the confidentiality, integrity and security of your personal information. As we believe that all of our clients value their privacy, we do not disclose your personal information to anyone unless it is required by law, or at your direction. *We will not sell your personal information to anyone.*

### The Personal Information That We Collect, Maintain, and Communicate

We collect personal information so we can provide investment management and financial planning services to you. The types and categories of information we collect and maintain about you include:

- Information we receive from you to open an account or provide investment management/financial planning services to you (such as your date of birth, social security number, address, telephone number, and financial information);
- Information about your transactions with us, our affiliates, or others to service your account (such as trade tickets and account statements);
- Information that we receive from third parties with respect to your account (such as trade confirmations from brokerage firms).

In order for us to provide investment management and financial planning services to you, we may disclose personal information that we collect and maintain in very limited instances, which includes:

- Disclosures that are authorized or requested by you (for example, to receive an insurance quote, providing information such as age or health conditions);
- Disclosures that are required by law (for example to cooperate with regulators or law enforcement authorities);
- Disclosures to companies as otherwise permitted by law, including those necessary to service your account (such as providing account information to brokers or custodians).
- ***On occasion, we may use our client's financial information, after being completely scrubbed of ALL identifiable personal information, for data analysis or case study efforts within the local financial planning community. This occurs for strictly educational purposes.***

### How We Protect Your Personal Information

To fulfill our privacy promise, we have instituted practices to safeguard the information that we maintain about you. These include:

- Maintaining physical, electronic and procedural safeguards that comply with federal and state regulations to guard your personal information;
- Limiting access to personal information third parties that are necessary or appropriate to provide services to you as described above;
- Requiring third parties that perform services to us to agree by contract to keep your information strictly confidential;
- Protecting information of our former clients to the same extent as our current clients.